

Form PTO-1449 (modified)

List of Patents and Publications

For Applicant's Information

Disclosure Statement

(Use several sheets if necessary)

ATTY. DKT. NO. 5181-65400

SERIAL NO. 09/660,553

AUG 13 2001

APPLICANT: Slaughter et al.

GROUP: 2152

2153

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PATENT & TRADEMARK OFFICE

MAILING DATE: September 12, 2000

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B7	"Transparent Network Computing," Locus Computing Corporation, January 5, 1995.
B8	Alexander, et al., "Active Bridging," Proceedings of the ACM/SIGCOMM'97 Conference, Cannes, France, September, 1997.
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B15	Birrell, et al., "Network Objects," DEC SRC Research Report 115, February 28, 1995.
B16	Birrell, et al., "Implementing Remote Procedure Calls," ACM Transactions on Computer Systems, Vol. 2, No. 1, pp. 39-59, February 1994.
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B18	Cannon, et al., "Adding Fault-Tolerant Transaction Processing to LINDA," Software-Practice and Experience, Vol. 24(5), pp. 449-466, May 1994.
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

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APPLICANT: Slaughter et al.

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FILING DATE: September 12, 2000

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	B76	MUX-Elektronik, Java 1.1 Interactive Course, www.lls.se/~mux/javaic.html, 1995.
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

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B80 Kougiouris, et al., "Support for Space Efficient Object Invocation in Spring," September 1994.

B81 Krasner, "The Smalltalk-80 Virtual Machine," BYTE Publications, Inc., pp. 300-320, August 1991.

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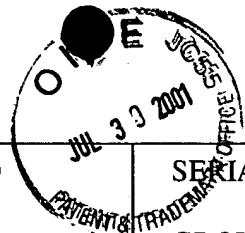
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<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
KC	A1	5,455,952	10/3/95	Gjovaag			
/	A2	5,386,568	1/31/95	Wold, et al.			
/	A3	5,423,042	6/6/95	Jalili, et al.			
/	A4	5,481,721	1/2/96	Serlet, et al.			
/	A5	5,557,798	9/17/96	Skeen, et al.			
/	A6	5,944,793	8/31/99	Islam, et al.			
/	A7	5,946,485	8/31/99	Weeren, et al.			
/	A8	5,946,694	8/31/99	Copeland, et al.			
/	A9	4,491,946	1/1/85	Kryshow, Jr., et al.			
/	A10	4,713,806	12/15/87	Oberlander, et al.			
/	A11	4,809,160	2/28/89	Mahon, et al.			
/	A12	4,939,638	7/3/90	Stephenson, et al.			
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/	A14	4,823,122	4/18/89	Mann, et al.			
/	A15	4,956,773	9/11/90	Saito, et al.			
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/	A17	5,187,787	2/16/93	Skeen, et al.			
/	A18	5,218,699	6/8/93	Brandle, et al.			
/	A19	5,257,369	10/26/93	Skeen, et al.			
/	A20	5,293,614	3/8/94	Ferguson, et al.			
/	A21	5,297,283	3/22/94	Kelly, Jr., et al.			
/	A22	5,307,490	4/26/94	Davidson, et al.			
/	A23	5,311,591	5/10/94	Fischer			
/	A24	5,339,435	8/16/94	Lubkin, et al.			
✓	A25	5,390,328	2/14/95	Frey, et al.			
✓	A26	5,440,744	8/8/95	Jacobson, et al.			

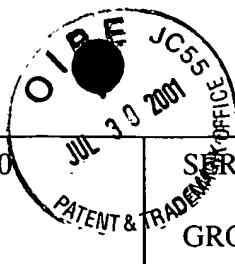
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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>ke</i>	A27	5,448,740	9/5/95	Kiri, et al.			
	A28	5,452,459	9/19/95	Drury, et al.			
	A29	5,471,629	11/28/95	Risch			
	A30	5,475,792	12/12/95	Stanford, et al.			
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	A32	5,504,921	4/2/96	Dev, et al.			
	A33	5,511,197	4/23/96	Hill, et al.			
	A34	5,524,244	6/4/96	Robinson, et al.			
	A35	5,553,282	9/3/96	Parrish, et al.			
	A36	5,555,367	9/10/96	Premerlani, et al.			
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	A42	5,603,031	2/11/97	White, et al.			
	A43	5,680,573	10/21/97	Rubin, et al.			
	A44	5,680,617	10/21/97	Gough, et al.			
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	A46	5,689,709	11/18/97	Corbett, et al.			
	A47	5,706,435	1/6/98	Barbara, et al.			
	A48	5,706,502	1/6/98	Foley, et al.			
	A49	5,724,588	3/3/98	Hill, et al.			
	A50	5,727,145	3/10/98	Nessett, et al.			
	A51	5,617,537	4/1/97	Yamada, et al.			
<i>✓</i>	A52	5,628,005	5/6/97	Hurvig			
<i>✓</i>	A53	5,640,564	6/17/97	Hamilton, et al.			

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PRIMARY EXAMINER

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>PL</i>	A54	5,644,768	7/1/97	Periwal, et al.			
	A55	5,652,888	7/29/97	Burgess, et al.			
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	A57	5,659,751	8/19/97	Heninger			
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	A71	5,774,551	6/30/98	Wu, et al.			
	A72	5,778,228	7/7/98	Wei			
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	A74	5,787,425	7/28/98	Bigus			
	A75	5,787,431	7/28/98	Shaughnessy			
	A76	5,790,548	8/4/98	Sistanizadeh, et al.			
	A77	5,802,367	9/1/98	Held, et al.			
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	A79	5,809,507	9/15/98	Cavanaugh, III			
<i>V</i>	A80	5,813,013	9/22/98	Shakib, et al.			

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	A82	5,815,709	9/29/98	Waldo, et al.			
	A83	5,815,711	9/29/98	Sakamoto, et al.			
	A84	5,818,448	10/6/98	Katiyar			
	A85	5,829,022	10/27/98	Watanabe, et al.			
	A86	5,832,219	11/3/98	Pettus			JUL 31 2001
	A87	5,832,529	11/3/98	Wollrath, et al.			Technology Center 2100
	A88	5,832,593	11/10/98	Wurst, et al.			RECEIVED
	A89	5,835,737	11/10/98	Sand, et al.			
	A90	5,842,018	11/24/98	Atkinson, et al.			
	A91	5,844,553	12/1/98	Hao, et al.			
	A92	5,845,129	12/1/98	Wendorf, et al.			
	A93	5,860,004	1/12/99	Fowlow, et al.			
	A94	5,860,153	1/12/99	Matena, et al.			
	A95	5,864,862	1/26/99	Kriens, et al.			
	A96	5,864,866	1/26/99	Henckel, et al.			
	A97	5,872,928	2/16/99	Lewis, et al.			
	A98	5,872,973	2/16/99	Mitchell, et al.			
	A99	5,875,335	2/23/99	Beard			
	A100	5,878,411	3/2/99	Burroughs, et al.			
	A101	5,884,024	3/16/99	Lin, et al.			
	A102	5,884,079	3/16/99	Furusawa			
	A103	5,887,134	3/23/99	Ebrahim			
	A104	5,890,158	3/30/99	House, et al.			
	A105	5,892,904	4/6/99	Atkinson, et al.			
✓	A106	5,933,497	8/3/99	Beetcher, et al.			
	A107	5,935,249	8/10/99	Stern, et al.			

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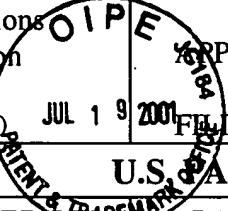
**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

<b>Form PTO-1449 (modified)</b>			ATTY. DKT. NO. 5181-72000	SERIAL NO. 09/660,553			
List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			APPLICANT: Slaughter et al FILING DATE: September 15, 2000	GROUP: UNKNOWN 2153			
<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	5,455,952	10/3/95	Gjovaag			
	A2	5,386,568	1/31/95	Wold, et al.			
	A3	5,423,042	6/6/95	Jalili, et al.			
	A4	5,481,721	1/2/96	Serlet, et al.			
	A5	5,557,798	9/17/96	Skeen, et al.			
	A6	5,944,793	8/31/99	Islam, et al.			
	A7	5,946,485	8/31/99	Weeren, et al.			
	A8	5,946,694	8/31/99	Copeland, et al.			
	A9	4,491,946	1/1/85	Kryshow, Jr., et al.			
	A10	4,713,806	12/15/87	Oberlander, et al.			
	A11	4,809,160	2/28/89	Mahon, et al.			
	A12	4,939,638	7/3/90	Stephenson, et al.			
	A13	5,088,036	2/11/92	Ellis, et al.			
	A14	4,823,122	4/18/89	Mann, et al.			
	A15	4,956,773	9/11/90	Saito, et al.			
	A16	5109,486	4/28/92	Seymour			
	A17	5,187,787	2/16/93	Skeen, et al.			
	A18	5,218,699	6/8/93	Brandle, et al.			
	A19	5,257,369	10/26/93	Skeen, et al.			
	A20	5,293,614	3/8/94	Ferguson, et al.			
	A21	5,297,283	3/22/94	Kelly, Jr., et al.			
	A22	5,307,490	4/26/94	Davidson, et al.			
	A23	5,311,591	5/10/94	Fischer			
	A24	5,339,435	8/16/94	Lubkin, et al.			
	A25	5,390,328	2/14/95	Frey, et al.			
	A26	5,440,744	8/8/95	Jacobson, et al.			

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<b>Form PTO-1449 (modified)</b> List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DKT. NO. 5181-72000 APPLICANT: Slaughter et al JUL 19 2001 FILING DATE: September 15, 2000			SERIAL NO. 09/660,553 GROUP: UNKNOWN		
 <b>U.S. PATENT DOCUMENTS</b>								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A27	5,448,740	9/5/95	Kiri, et al.				
	A28	5,452,459	9/19/95	Drury, et al.				
	A29	5,471,629	11/28/95	Risch				
	A30	5,475,792	12/12/95	Stanford, et al.				
	A31	5,475,817	12/12/95	Waldo, et al.				
	A32	5,504,921	4/2/96	Dev, et al.				
	A33	5,511,197	4/23/96	Hill, et al.				
	A34	5,524,244	6/4/96	Robinson, et al.				
	A35	5,553,282	9/3/96	Parrish, et al.				
	A36	5,555,367	9/10/96	Premerlani, et al.				
	A37	5,555,427	9/10/96	Aoe, et al.				
	A38	5,560,003	9/24/96	Nilsen, et al.				
	A39	5,561,785	10/1/96	Blandy, et al.				
	A40	5,577,231	11/19/96	Scalzi, et al.				
	A41	5,594,921	1/14/97	Pettus				
	A42	5,603,031	2/11/97	White, et al.				
	A43	5,680,573	10/21/97	Rubin, et al.				
	A44	5,680,617	10/21/97	Gough, et al.				
	A45	5,684,955	11/4/97	Meyer, et al.				
	A46	5,689,709	11/18/97	Corbett, et al.				
	A47	5,706,435	1/6/98	Barbara, et al.				
	A48	5,706,502	1/6/98	Foley, et al.				
	A49	5,724,588	3/3/98	Hill, et al.				
	A50	5,727,145	3/10/98	Nessett, et al.				
	A51	5,617,537	4/1/97	Yamada, et al.				
	A52	5,628,005	5/6/97	Hurvig				
	A53	5,640,564	6/17/97	Hamilton, et al.				

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<p><b>Form PTO-1449 (modified)</b>  <b>List of Patents and Publications</b>  <b>For Applicant's Information</b>  <b>Disclosure Statement</b>  <small>(Use several sheets if necessary)</small></p>			<p>ATTY. DKT. NO. 5181-72000  <b>APPLICANT:</b> Slaughter et al  <b>FILING DATE:</b> September 15, 2000</p>			<p>SERIAL NO. 09/660,553  <b>GROUP:</b> UNKNOWN</p>		
<p style="text-align: center;"><b>U.S. PATENT DOCUMENTS</b></p>								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
KL	A54	5,644,768	7/1/97	Periwal, et al.				
	A55	5,652,888	7/29/97	Burgess, et al.				
	A56	5,655,148	8/5/97	Richman, et al.				
	A57	5,659,751	8/19/97	Heninger				
	A58	5,671,225	9/23/97	Hooper, et al.				
	A59	5,675,796	10/7/97	Hodges, et al.				
	A60	5,737,607	4/7/98	Hamilton, et al.				
	A61	5,745,678	4/28/98	Herzberg, et al.				
	A62	5,745,695	4/28/98	Gilchrist, et al.				
	A63	5,745,703	4/28/98	Cejtin, et al.				
	A64	5,745,755	4/28/98	Covey				
	A65	5,748,897	5/5/98	Katiyar				
	A66	5,754,849	5/19/98	Dyer, et al.				
	A67	5,757,925	5/26/98	Faybisenko				
	A68	5,761,656	6/2/98	Ben-Shachar				
	A69	5,764,897	6/9/98	Khalidi				
	A70	5,768,532	6/16/98	Megerian				
	A71	5,774,551	6/30/98	Wu, et al.				
	A72	5,778,228	7/7/98	Wei				
	A73	5,778,368	7/7/98	Hogan, et al.				
	A74	5,787,425	7/28/98	Bigus				
	A75	5,787,431	7/28/98	Shaughnessy				
	A76	5,790,548	8/4/98	Sistanizadeh, et al.				
	A77	5,802,367	9/1/98	Held, et al.				
	A78	5,808,911	9/15/98	Tucker, et al.				
	A79	5,809,507	9/15/98	Cavanaugh, III				
	A80	5,813,013	9/22/98	Shakib, et al.				

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<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement <b>JUL 1 9 2001</b> (Use several sheets if necessary)			ATTY. DKT. NO. 5181-72000 APPLICANT: Slaughter et al FILING DATE: September 15, 2000			SERIAL NO. 09/660,553 GROUP: UNKNOWN		
<b>U.S. PATENT DOCUMENTS</b>								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A81	5,815,149	9/29/98	Mutschler, III et al.				
	A82	5,815,709	9/29/98	Waldo, et al.				
	A83	5,815,711	9/29/98	Sakamoto, et al.				
	A84	5,818,448	10/6/98	Katiyar				
	A85	5,829,022	10/27/98	Watanabe, et al.				
	A86	5,832,219	11/3/98	Pettus				
	A87	5,832,529	11/3/98	Wollrath, et al.				
	A88	5,832,593	11/10/98	Wurst, et al.				
	A89	5,835,737	11/10/98	Sand, et al.				
	A90	5,842,018	11/24/98	Atkinson, et al.				
	A91	5,844,553	12/1/98	Hao, et al.				
	A92	5,845,129	12/1/98	Wendorf, et al.				
	A93	5,860,004	1/12/99	Fowlow, et al.				
	A94	5,860,153	1/12/99	Matena, et al.				
	A95	5,864,862	1/26/99	Kriens, et al.				
	A96	5,864,866	1/26/99	Henckel, et al.				
	A97	5,872,928	2/16/99	Lewis, et al.				
	A98	5,872,973	2/16/99	Mitchell, et al.				
	A99	5,875,335	2/23/99	Beard				
	A100	5,878,411	3/2/99	Burroughs, et al.				
	A101	5,884,024	3/16/99	Lin, et al.				
	A102	5,884,079	3/16/99	Furusawa				
	A103	5,887,134	3/23/99	Ebrahim				
	A104	5,890,158	3/30/99	House, et al.				
	A105	5,892,904	4/6/99	Atkinson, et al.				
	A106	5,933,497	8/3/99	Beetcher, et al.				
	A107	5,935,249	8/10/99	Stern, et al.				

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<b>Form PTO-1449 (modified)</b> List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DKT. NO. 5181-65400 APPLICANT: Slaughter et al. FILING DATE: September 12, 2000			SERIAL NO. 09/660,553 GROUP: 2152		
U.S. PATENT DOCUMENTS								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
	D1	5,778,187	7/7/98	Monteiro, et al.				
	D2	5,832,529	11/3/98	Wollrath, et al.				
	D3	6,016,500	1/18/00	Waldo, et al.				
FOREIGN PATENT DOCUMENTS								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
	C1	11-45187	10/10/97	JP				
	C2	2 253 079	8/26/92	GB				
	C3	2 262 825	6/30/93	GB				
	C4	2 305 087	3/26/97	GB				
	C5	300 516	1/25/89	EP				
	C6	351 536	1/24/90	EP				
	C7	384 339	8/29/90	EP				
	C8	472 874	3/4/92	EP				
	C9	474 340	3/11/92	EP				
	C10	497 022	8/5/92	EP				
	C11	555 997	8/18/93	EP				
	C12	565 849	10/20/93	EP				
	C13	569 195	11/10/93	EP				
	C14	697 655	2/21/96	EP				
	C15	660 231	6/28/95	EP				
	C16	651 328	5/3/95	EP				
	C17	625 750	11/23/94	EP				
	C18	718 761	6/26/96	EP				
	C19	767 432	4/9/97	EP				
	C20	778 520	6/11/97	EP				
	C21	794 493	9/10/97	EP				

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 List of Patents and Publications  
 For Applicant's Information  
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ATTY. DKT. NO. 5181-72000

SERIAL NO. 09/660,553

SEP 17 2001

APPLICANT: Slaughter et al.

GROUP: Unknown

FILING DATE: September 15, 2000

2153

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
KC	D1	5,778,187	7/7/98	Monteiro, et al.	✓		
KC	D2	5,832,529	11/3/98	Wollrath, et al.		X	
KC	D3	6,016,500	1/18/00	Waldo, et al.		X	

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
KC	C1	11-45187	10/10/97	JP	✓		
	C2	2 253 079	8/26/92	GB			
	C3	2 262 825	6/30/93	GB			
	C4	2 305 087	3/26/97	GB			
	C5	300 516	1/25/89	EP			
	C6	351 536 ✓	1/24/90	EP			
	C7	384 339 ✓	8/29/90	EP			
	C8	472 874	3/4/92	EP			
	C9	474 340	3/11/92	EP			
	C10	497 022	8/5/92	EP			
	C11	555 997	8/18/93	EP			
	C12	565 849	10/20/93	EP			
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	C14	697 655	2/21/96	EP			
	C15	660 231	6/28/95	EP			
	C16	651 328	5/3/95	EP			
	C17	625 750	11/23/94	EP			
	C18	718 761	6/26/96	EP			
	C19	767 432 ✓	4/9/97	EP			
✓	C20	778 520	6/11/97	EP			
✓	C21	794 493	9/10/97	EP			

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List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DKT. NO. 5181-72000

SERIAL NO. 09/660,553

APPLICANT: Slaughter et al.

GROUP: Unknown

SEP 17 2001

FILING DATE: September 15, 2000

2153

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	C22	803 810	10/29/97	EP			✓
	C23	803 811	10/29/97	EP			✓
	C24	805 393	11/5/97	EP			✓
	C25	810 524	12/3/97	EP			✓
	C26	817 020	1/7/98	EP			✓
	C27	817 022	1/7/98	EP			✓
	C28	817 025	1/7/98	EP			✓
	C29	836 140	4/15/98	EP			✓
	C30	92/07335	4/30/92	WO			✓
	C31	92/09948	6/11/92	WO			✓
	C32	93/25962	12/23/93	WO			✓
	C33	94/03855	2/17/94	WO			✓
	C34	96/10787	4/11/96	WO			✓
	C35	96/03692	2/8/96	WO			✓
	C36	96/18947	6/20/96	WO			✓
	C37	96/24099	8/8/96	WO			✓
	C38	98/02814	1/22/98	WO			✓
	C39	98/04971	2/5/98	WO			✓

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	C40	Jaworski, "Java 1.1 Developer's Guide, 2 <sup>nd</sup> Edition," Sams.net, 1997.
/	C41	Coulouris, et al., "Distributed Systems Concepts and Designs," Second Edition, Addison-Wesley, 1994.
/	C42	Mullender, "Distributed Systems," Second Edition, Addison-Wesley, 1993.
/	C43	Lindholm, et al., "The Java <sup>TM</sup> Virtual Machine Specification," Addison Wesley, 1996.
	D4	"SOAP: Simple Object Access Protocol," msdn online Web Workshop, Microsoft, April 26, 2000, <a href="http://msdn.Microsoft.com/xml/general/soapspec.asp">http://msdn.Microsoft.com/xml/general/soapspec.asp</a> , 34 pages.
/	D4	Rob Guth, "Sun tries on JacaSpaces for Distributed OS," August 1997, Vol. 19, Issue 34, 2 pages.
/	D6	Microsoft, "Microsoft.NET: Realizing the Next Generation Internet," A Microsoft White Paper, June 2000, 8 pages.

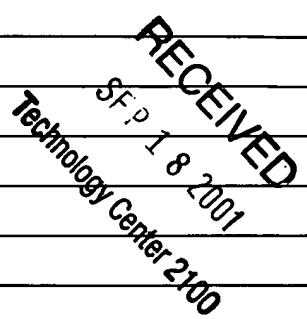
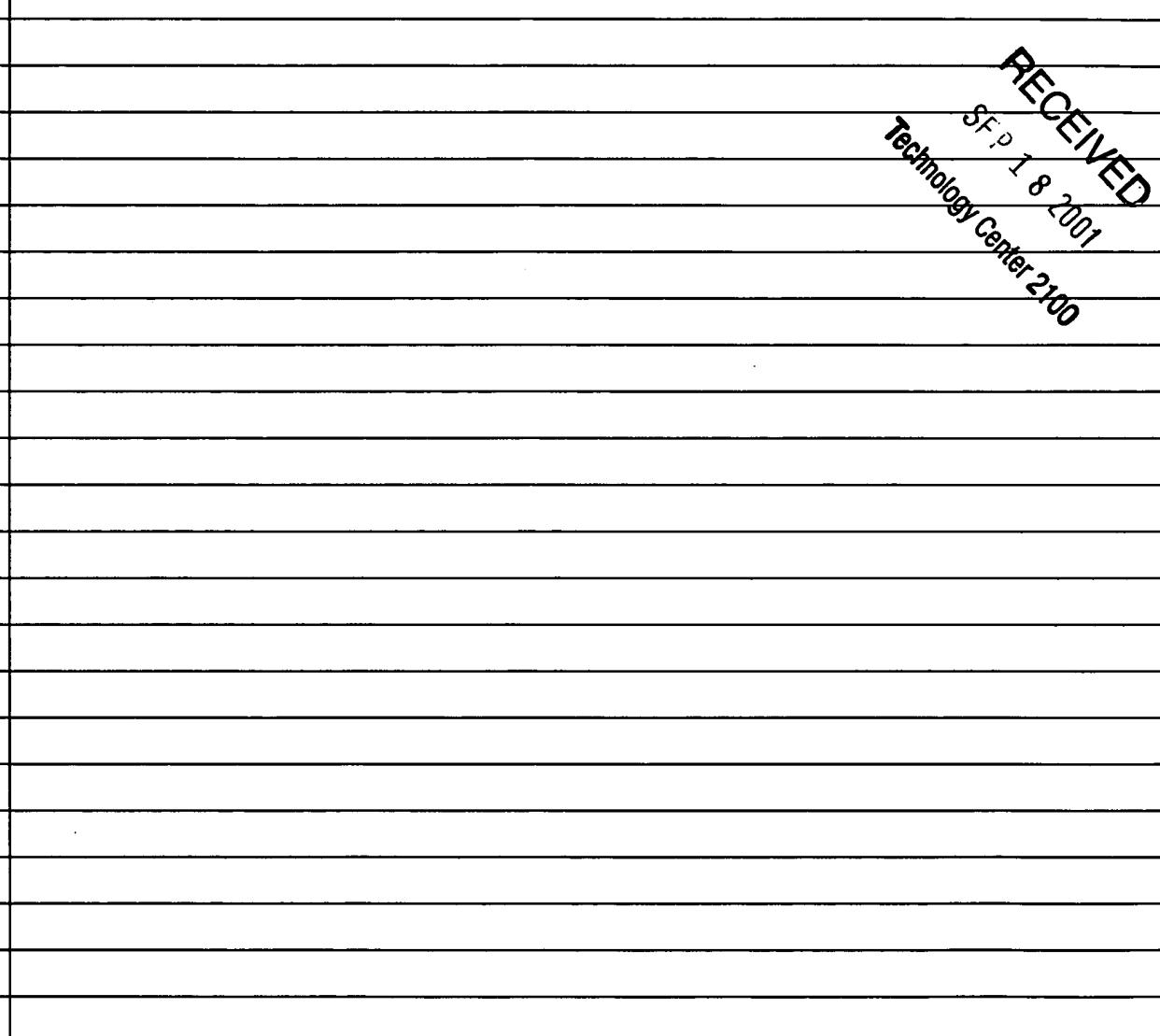
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DATE CONSIDERED:

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<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. DKT. NO. 5181-65400 APPLICANT: Slaughter et al. FILING DATE: September 12, 2000	SERIAL NO. 09/660,553 GROUP: 2152
<i>JK</i>	D7	K. F. Eustice, et al., "A Universal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 1999, Pp. 575-601.	
<i>JK</i>	D8	Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 pages.	
<i>JK</i>	D9	Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.	
			
			

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3/5/04

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**Form PTO-1449** (modified)  
List of Patents and Publications  
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Disclosure Statement  
(Use several sheets if necessary)

ATTY. DKT. NO. 5181-65400

SERIAL NO. 09/660,553

APPLICANT: Slaughter et al.

GROUP: 2152

FILING DATE: September 12, 2000

2153

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
KC	C22	803 810	10/29/97	EP			
	C23	803 811	10/29/97	EP			
	C24	805 393	11/5/97	EP			
	C25	810 524	12/3/97	EP			
	C26	817 020	1/7/98	EP			
	C27	817 022	1/7/98	EP			
	C28	817 025	1/7/98	EP			
	C29	836 140	4/15/98	EP			
	C30	92/07335	4/30/92	WO			
	C31	92/09948	6/11/92	WO			
	C32	93/25962	12/23/93	WO			
	C33	94/03855	2/17/94	WO			
	C34	96/10787	4/11/96	WO			
	C35	96/03692	2/8/96	WO			
	C36	96/18947	6/20/96	WO			
	C37	96/24099	8/8/96	WO			
	C38	98/02814	1/22/98	WO			
✓	C39	98/04971	2/5/98	WO			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

KC	C40	Jaworski, "Java 1.1 Developer's Guide, 2 <sup>nd</sup> Edition," Sams.net, 1997.
	C41	Coulouris, et al., "Distributed Systems Concepts and Designs," Second Edition, Addison-Wesley, 1994.
	C42	Mullender, "Distributed Systems," Second Edition, Addison-Wesley, 1993.
	C43	Lindholm, et al., "The Java™ Virtual Machine Specification," Addison Wesley, 1996.
	D4	"SOAP: Simple Object Access Protocol," msdn online Web Workshop, Microsoft, April 26, 2000, <a href="http://msdn.Microsoft.com/xml/general/soapspec.asp">http://msdn.Microsoft.com/xml/general/soapspec.asp</a> , 34 pages.
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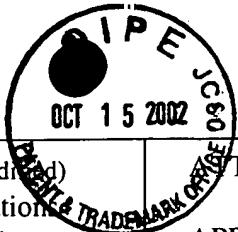
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<b>Form PTO-1449</b> (modified) O 1 PL List of Patents and Publications For Applicant's Information Disclosure Statement OCT 23 2003 (Use several sheets if necessary)			ATTY. DKT. NO. 5181-65400 APPLICANT: Slaughter et al. FILING DATE: September 12, 2000			SERIAL NO. 09/660,553 GROUP: 2152	
<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
<i>KC</i>	F1	6,560,633	5/6/03	Roberts, et al.			
<i>KC</i>	F2	6,446,108	9/3/02	Rosenburg, et al.			
<i>KC</i>	F3	2001/0047294	11/29/01	Rothschild			
<i>KC</i>	F4	2002/0120685	8/29/02	Srivastava, et al.			
<i>KC</i>	F5	2001/0054001	12/20/01	Robinson			
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	F6	965 917	12/22/99	EP			
<b>OTHER ART</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
<i>KC</i>	F7	Czerwinski, et al. "An Architecture for a Secure Service Discovery Service," Copyright ACM 1999, XP000896069, pp. 24-35					
<i>KC</i>	F8	Eroneri, et al., "Extending Jini With Decentralized Trust Management," 2000, XP002214573, 5 pages.					
<i>KC</i>	F9	Lai, et al., "User Authentication and Authorization in the Java Platform," IEEE, 1999, pp. 285-290.					

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Form PTO-1449 (modified) PATENT & TRADEMARK OFFICE of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO. 5181-65400 APPLICANT: Slaughter et al. FILING DATE: September 12, 2000	SERIAL NO. 09/660,553 GROUP 2152 2153
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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
PL	E1	6,324,566	11/27/01	Himmel, et al.			
PL	E2	6,332,062	12/18/01	Phillips, et al.			<del>RECEIVED</del>
PL	E3	6,405,175	6/11/02	Ng			
							NOV 08 2002

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Technology Center 2100

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	E4	892 530	1/20/99	EP			

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

KC	E5	Morgenthaler, et al., U.S. Publication No. 2002/0032677, Published March 14, 2002, entitled "Methods for Creating, Editing, and Updating Searchable Graphical Database and Databases of Graphical Images and Information and Displaying Graphical Images From a Searchable Graphical Database or Databases in a Sequential or Slide Show Format".
	E6	Granik, et al., U.S. Publication No. 2002/0010757, Published January 24, 2002, entitled "Method and Apparatus for Replacement of On-Line Advertisements".
	E7	Do Rosario Botelho, et al., U.S. Publication No. 2002/0069105, Published June 6, 2002, entitled "Data Processing System for Targeted Content".
	E8	Addante, U.S. Publication No. 2002/0004733, Published January 10, 2002, entitled "Method and Apparatus for Transaction Tracking Over a Computer Network".
	E9	Ullman, et al., U.S. Publication No. 2002/0038344, Published March 28, 2002, entitled "Enhanced Video Programming System and Method for Incorporating and Displaying Retrieved Integrated Internet Information Segments".
	E10	Uppaluru, U.S. Publication No. 2002/0080927, Published June 27, 2002, entitled "System and Method for Providing and Using Universally Accessible Voice and Speech Data Files".
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	E12	XP-002212130, "The Search API's", pp. 297-305, 320-329, 635-656, June 1999.
	E13	"Network Nirvana and the Intelligent Device", Spotlight, XP-002927392, pp. 16-19, April 1999.
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